

CORPORATE GOVERNANCE



Peter N Varghese, AO

Leads the University's governing body, the Senate.



Dr Carla Tromans

Acts as Chancellor in the absence of the Chancellor, or if the office of the Chancellor is vacant.

University Senate

The governing body of the University is the Senate, as constituted by the *University of Queensland Act 1998*. Senate has 22 members, comprising official members, appointed members, elected members and additional members. Following the resignation of Dr Jane Wilson on 11 November 2016 after 10 years service, on 27 February 2017 Senate elected Tonia Dwyer as the Deputy Chancellor.

Members serve a four-year term except student members who serve for two years. The four-year term of the 33rd Senate, which began on 1 January 2014, concluded on 31 December 2017. Elections were held in October to appoint elected members to the 34th Senate term commencing 1 January 2018.

In 2017 members did not receive payment for undertaking this role. Senate met seven times during 2017.

The University complies with the Voluntary Code of Best Practice for the Governance of Australian Universities, approved by the Ministerial Council for Tertiary Education and Employment in 2011.

Official members

- Chancellor **Peter N Varghese, AO**, BA (Hons), H.D.Litt *Old*
- Vice-Chancellor and President, **Professor Peter Høj**, MSc, PhD *Copenhagen*, DUniv (Honoris Causa) *Copenhagen* and *S.Aust.*, FTSE
- President of the Academic Board, **Professor Fred D'Agostino**, BA (Hons) *Amherst*, MA *Prin*, PhD *LSE*, FAHA

Members appointed by the Governor-In-Council

- **Timothy B Crommelin**, BCom *Qld*, AdvMgmtProg *Hawaii*, FSIA
- **The Hon Justice Martin Daubney**, BA, LLB *Qld*
- **Philip Hennessy**, BBusAcc *QUT*
- **Dr Zelle Hodge AM**, MBBS *Qld*, FRACGP, FAMA, FAICD
- **Jamie Merrick**, BA (Laws), MSc
- **Grant Murdoch**, BCom, MCom *Cant*, FCA, FAICD
- **Charlie Sartain**, BE (Hons) *Melb*, FAusIMM, FTSE

Elected members

- One member of the Academic Board, **Associate Professor Greg Hainge**, BA (Hons), MA, PhD *Nott*, GCELead *Qld*
- One member of the full-time or part-time academic staff of the University, **Associate Professor Tony Roberts**, BSc (Hons I), MComm *Qld*, PhD *ANU*
- One member of the full-time or part-time general staff of the University, **Mark D Starkey**, BA *Qld*
- One postgraduate student, **Thomas Mackay**, BSc *Qld*
- One undergraduate student, **Dylan Kerr**

Three graduates of the University

- **Kathy Hirschfeld**, BE (Chem) *Qld*, FTSE, FICHEM, Hon FIEAust, FAICD
- **Dr Carla Tromans**, BEd *QUT*, MEdSt *Qld*, MEd *USQ*, EdD *QUT*, MAICD
- **Michael Zivcic**, BE (Mining), BSc *Qld*, GAICD, GAusIMM

Appointed by Senate

- **Margaret Brown**, BA, LLB (Hons) *Qld*, MAICD, MIPSANZ
- **Tonia Dwyer**, BJuris (Hons), LLB (Hons) *UWA*, GAICD (Deputy Chancellor) [elected 27 February until 31 December 2017]
- **Michelle Tredenick**, BSc *Qld*, FAICD.

University Senate Officers

While the Chancellor and Deputy Chancellor lead the University Senate, the Vice-Chancellor and President is the University's Chief Executive Officer, responsible to Senate for overall strategic planning, finance and external affairs' direction.

The Vice-Chancellor* is supported by an MBE **Melle Tredenick**.

Senate 2017 activities

For a report of the Senate's 2017 activities, please visit uq.edu.au/about/year-ended-december-31-2017.



Professor Peter H. J. van der Meer
 MSc, PhD *Copenhagen*, DUniv (Honoris Causa) *Copenhagen* and *S.Aust.*, FTSE
 Chief Executive Officer (CEO), responsible to Senate for UQ's strategic direction, performance and external affairs; and chair of the University's Capital Management Group.



Professor Andrew B. Baker
 BSc, MSc *Auck*, PhD *ANU*
 Standing deputy to the Vice-Chancellor and President of the University, providing leadership for the University's overall strategic planning, academic quality, and budget. Provides executive leadership of the academic, research and financial performance of six faculties and four research institutes.

Christine O'Connell
General Counsel
 BA, LLB, GradDip (Industrial Relations) *Natal*, MBA *Qld*, Advanced Management Program *Harv*
 Coordinates management of the University's finance, business, human resources, legal, planning and business intelligence, governance and risk, property and facilities, occupational health and safety, investigations, internal audit and information technology functions. Advises the Senate on governance, and is the University Secretary and its Public Officer.

Dr. Alan J. Baker
Deputy Vice-Chancellor (Academic)
 Joint Honours *Kent*, MLitt *Aberdeen*, PhD *ANU*, GAICD
 Responsible for preserving the University's commitment to high-quality learning and teaching; promoting a culture of excellence across the student experience; leading initiatives aimed at student success and retention, and integration of services for students; and quality standards.

Dr. David C. E. ...
Deputy Vice-Chancellor (Engagement)
 BA (Hons), MSc, PhD *Ulster*
 Responsible for leading the University's overall student recruitment and engagement strategy, with a particular focus on expanding the quality and scale of engagement, both nationally and internationally, with prospective students, industry, government, alumni, and Aboriginal and Torres Strait Islander communities.



Dr. David C. E. ...
Deputy Vice-Chancellor (Research)
 MBBS (Hons), PhD *UNSW*

Professor ...
Deputy Vice-Chancellor (Operations)
 (1 January – 30 June 2017)
 BA (Hons), PhD *ANU*, GAICD
 Responsible for overall management and development of both the Gatton campus and the Pinjarra Hills site, and management of the University's academic employee relations.
 (from 16 October 2017)
 BA (Hons) *East Anglia*, MPhil, DPhil *Oxford*, FASSA
 Provides executive leadership with a particular focus on strategic and operational planning, employee relations, and general academic and research performance.

Information Management

The University continues to promote compliance with the *Public Records Act 2002, Information Standard 40: Recordkeeping* and *Information Standard 31: Retention and Disposal of Public Records*.

In 2017 UQ made the following records management improvements:

- implemented a staged rollout of the University's Electronic Document and Records Management System (EDRMS), including the issue of additional licences across the University
- enhanced online training resources to assist units to manage their own records and promote a paperless office
- successfully piloted the transition of employee records to a digital format
- continued automatic capture of records through linking network drives.

Public Sector Act

In terms of its obligations under the *Public Sector Ethics Act 1994*, the University has a Code of Conduct that sets out the expectations for University staff in relation to professional conduct. All continuing and fixed-term staff are required to complete an assessable online course to learn how the Code of Conduct applies to them; casual staff are also strongly encouraged to complete the course.

The training is consistent with the University's obligations under the *Public Sector Ethics Act 1994*, which requires the University to provide appropriate education about public sector ethics. Given the high profile of the Code of Conduct, administrative procedures and management practices across the University reflect the objectives and requirements set out. It is also referenced in position descriptions and offers of appointment, forms part of employee induction programs, and is incorporated into relevant training and development programs.

Integrity and Investigations

The Integrity and Investigations Unit is responsible for the management and conduct of investigations into breaches of policies, activities directed against the University and/or its people, misuse of public money and public interest disclosures. The unit also leads the delivery of misconduct prevention strategies, including training, information and advice.

The Associate Director, Investigations and Integrity, reports administratively to the Chief Operating Officer and has direct access to the Vice-Chancellor and President, Chair – Senate Risk and Audit Committee, and Chancellor, as required.

Risk Management

The University has a Senate Risk and Audit Committee that assists Senate in discharging its risk management, and internal compliance and control oversight responsibilities.

The role of this committee is to exercise

